

PATENT  
Docket No. 133663IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Swami (NMN) Ganesh, et al

Group Art Unit: 3726

Serial No. 10/707,302

Examiner:

RECEIVED  
CENTRAL FAX CENTER

JAN 18 2005

Filed: December 4, 2003

METHOD OF PROCESSING A MULTIPLE ALLOY ROTOR

SUBSTITUTE DECLARATIONCommissioner of Patents and Trademarks  
Washington, D.C. 20231

Please find enclosed a Substitute Declaration and Power of Attorney. The Substitute document has been changed to reflect the correct inventorship and domestic priority.

Through an error, two inventors were omitted from the original Declaration. Such error arose without any deceptive intent on the part of inventors or representatives. In addition, the first inventor's name was listed as Swaminathan Ganesh, and it should be listed as Swami Ganesh. In addition, please amend the filing receipt to correctly identify the domestic priority for this patent application. It is a Continuation-in-part of USSN 10/463,441, filed June 18, 2003.

I have attached the original filing receipt with the changes reflected. Please permit the application to be amended accordingly.

Should the Examiner have any questions with respect to any matter now of record, Applicant's representative may be reached at (219) 462-4999.

Respectfully submitted,

By Domenica N.S. Hartman  
Domenica N.S. Hartman  
Reg. No. 32,701January 18, 2005  
Hartman & Hartman, P.C.  
Valparaiso IN 46383  
TEL.# (219) 462-4999  
FAX# (219) 464-1166I hereby certify that this document is being facsimile transmitted to the  
Patent and Trademark Office at the number below, on the date below:703 - 872-9306 1/18/05  
Fax # mary A. Harris Date

6 pages



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/707,302	12/04/2003	3726	770	133663	5	10	1

27127

HARTMAN & HARTMAN, P.C.  
 552 EAST 700 NORTH  
 VALPARAISO, IN 46383

CONFIRMATION NO. 1301

## FILING RECEIPT

\*OC000000012079951\*

Date Mailed: 03/11/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Swaminathan Ganesh, Scotia, NY;  
 Robin Carl Schwant, Pattersonville, NY;  
 Ling Yang, Niskayuna, NY;  
 John Zhiqiang Wang, Greenville, SC;

Francis Alexander Reed, Scotia, NY  
 Robert V. Falsetti, Schenectady, NY

## Assignment For Published Patent Application

GENERAL ELECTRIC COMPANY, Schenectady, NY;

## Domestic Priority data as claimed by applicant

CIP of USPN 10/463,441; filed June 18, 2003

## Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

MAR 15

## Title

METHOD OF PROCESSING A MULTIPLE ALLOY ROTOR

BEST AVAILABLE COPY

Preliminary Class

029

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**BEST AVAILABLE COPY**